Dependency Parsing Chapter 11

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Dependency Grammar

- Motivated by lexicalized context-free grammars
- Tries to improve attachment ambiguities
- More light-weight structure
- Universal Dependencies project
 - More than 100 dependency treebanks for more than 60 languages



Dependency Grammar (cont.)

- Relationships modeled as directed graph (dependency graph)
- Vertices are the words and a special root vertex
- Edge (*i*, *j*) from head *i* to dependent *j*
 - Describes syntactic dependencies
 - Can be derived from a lexicalized constituency parse
- Exactly one incoming edge for each word (root has no incoming edge)
- Properties of the dependency graph
 - Weakly connected
 - No cycles
 - Spanning tree if directed edges are replaced by undirected edges

The cats scratch people with claws

(b) unlabeled dependency tree

Heads and Dependents

How to choose the head? (possible criteria)

- Head sets syntactic category of the construction
 - E.g. Nouns as heads of noun phrases, verbs are heads of verb phrases
- Modifier (dependent) may be optional while head is mandatory
 - E.g. *cats scratch people with claws*, subtrees *cats scratch* and *cats scratch people* are grammatical sentences, but *with claws* is not
- Head determines the morphological form of the modifier
 - E.g. in languages with gender agreements, the gender of the noun determines the gender of the adjectives and determiners
- Edges should first connect content words, and then connect function words

Heads and Dependents (cont.)

- Relationships are modeled as asymmetric
- Not all relations are asymmetric
 - Example: Coordination (symmetric)
 - *Abigail and Max like kimchi* with coordinated noun phrase *Abigail and Max*
 - How to choose the heads in the coordinated noun phrase?
 - Choosing *Abigail* or *Max* would be arbitrary
 - Choosing and goes against the principle of linking content words first
 - Universal Dependencies arbitrarily chooses the left-most item as head and uses of *conj* (=conjoined) and *cc* (=coordinating conjunction) labels



Labeled Dependencies

- Labels of edges indicate the nature of the syntactic relations
- What are the children of *like*? Who likes what?
 - <u>Abigail</u> and Max is nsubj (=noun subject) of verb like
 - <u>kimchi</u> but not jook is obj (=object) from verb like
 - Negation not is advmod (=adverbial modifier) on the noun jook



Another Example Dependency Parse



Figure 11.3: A labeled dependency parse from the English UD Treebank (reviews-361348-0006)

Example Japanese Dependency Parse

Short Unit Word (SUW)



She studied in Peking University, and delivered twins when she returned to Japan.

Source: Asahara, Masayuki, et al. "Universal Dependencies Version 2 for Japanese."

Dependency Subtrees and Constituents

- Dependency trees hides information present of CFG parse
- Often no meaningful difference between analyses
- Dependency parses can be flat:
 - E.g. Abigail was reluctantly giving Max kimchi with head giving



Figure 11.4: The three different CFG analyses of this verb phrase all correspond to a single dependency structure.

Projectivity

Definition (Projectivity). An edge from *i* to *j* is projective iff all *k* between *i* and *j* are descendants of *i*. A dependency parse is projective iff all its edges are projective.

- Dependency parses derived from lexicalized CFG parses are projective —> restricted class of spanning trees
- Syntactic constituents are *continues* spans

Projective Example



Non-Projective Example



Projectivity (cont.)

	% non-projective edges	% non-projective sentences
Czech	1.86%	22.42%
English	0.39%	7.63%
German	2.33%	28.19%

- The frequency of projectivity is language dependent
- Projectivity has algorithmic consequences
 - Transition-based parsing
 - Simple/efficient but mostly allows for projectivity
 - Graph-based parsing
 - Complex/inefficient but also allows non-projectivity

Graph-Based Dependency Parsing

- Dependency graph: $\boldsymbol{y} = \{(i \xrightarrow{r} j)\}$
- Relation r
- Head word $i \in \{1, 2, \dots, M, \text{ROOT}\}$
- Modifier $j \in \{1, 2, \dots, M\}$
- M is length of input $|\boldsymbol{w}|$
- Scoring function: $\Psi(oldsymbol{y},oldsymbol{w};oldsymbol{ heta})$

Optimal parse:
$$\hat{m{y}} = rgmax \Psi(m{y}, m{w}; m{ heta})$$

 $m{y} \in \mathcal{Y}(m{w})$

- $\mathcal{Y}(oldsymbol{w})$ is the set of valid dependency parses on input $oldsymbol{w}$
- $|\mathcal{Y}(\boldsymbol{w})|$ is exponential in the length of the input

Arc-Factored Assumption

- Decompose the exponential search space into a sum of local feature vectors.
- This assumes that the score is independent of other edges
- Arc-factored:

$$\Psi(\boldsymbol{y}, \boldsymbol{w}; \boldsymbol{ heta}) = \sum_{\substack{i \to j \in \boldsymbol{y}}} \psi(i \xrightarrow{r} j, \boldsymbol{w}; \boldsymbol{ heta})$$

Higher-Order Dependency Parsing

Relax arc-factored decomposition to allow higher-order dependencies sibling



Projective Dependency Parsing

- Lexicalized CFG parsing algorithms can be applied directly to get a projective dependency parse
- Lower bound for scoring the edges $\mathcal{O}(M^2R)$
- There are cubic time algorithms for lexicalized constituent parsing
- Hence, arc-factored projective dependency parsing is in cubic time in the length of the input
- Second-order projective dependency parsing can also be performed in cubic time
- Third-order projective dependency parsing can be performed in $\mathcal{O}(M^4)$

Non-Projective Dependency Parsing

- Precompute scores for the edges
- Find maximum directed spanning tree to maximize the total score (Chu-Liu-Edmonds algorithm)
- Chu-Liu-Edmonds complexity $\mathcal{O}(M^3 R)$
- Can be reduced to $\mathcal{O}(M^2N)$ by storing the edge scores in a Fibonacci heap



Computing Scores for Dependency Arcs

Linear Feature-Based Arc Scores

Linear
$$\psi(i \xrightarrow{r} j, \boldsymbol{w}; \boldsymbol{\theta}) = \boldsymbol{\theta} \cdot \boldsymbol{f}(i \xrightarrow{r} j, \boldsymbol{w})$$

- Same features *f* possible as in sequence labeling and discriminative constituency parsing including:
 - the length and direction of the arc;
 - the words w_i and w_j linked by the dependency relation;
 - the neighbors of the dependency arc, $w_{i-1}, w_{i+1}, w_{j-1}, w_{j+1}$;
 - the prefixes, suffixes, and part-of-speech of these neighbor words
- Bilexical features (e.g. sushi → chopsticks)
 - Useful but rare, backing off can be helpful $f(3 \rightarrow 5, we \ eat \ sushi \ with \ chopsticks) = \langle sushi \rightarrow chopsticks, \rangle$

sushi \rightarrow NNS,

 $NN \rightarrow chopsticks$,

 $NNS \rightarrow NN \rangle$.

Many more features are possible

Neural Arc Scores

• Given vector representation x_i for each word w_i

 $\psi(i \xrightarrow{r} j, \boldsymbol{w}; \boldsymbol{\theta}) = \text{FeedForward}([\boldsymbol{x}_i; \boldsymbol{x}_j]; \boldsymbol{\theta}_r)$

• Kiperwasser and Goldberg (2016) feed forward network:

$$\boldsymbol{z} = g(\boldsymbol{\Theta}_r[\boldsymbol{x}_i; \boldsymbol{x}_j] + b_r^{(z)})$$
$$\psi(i \xrightarrow{r} j) = \boldsymbol{\beta}_r \boldsymbol{z} + b_r^{(y)}$$

 Θ_r is a matrix, β_r is a vector, each b_r is a scalar, g is an elementwise \tanh activation

• x_i can be a word embedding or a vector incorporating context through a BiLSTM layer on the input word embeddings (Kiperwasser and Goldberg, 2016)

Kiperwasser and Goldberg (2016)

- No handcrafted lexical features
- Context captured through BiLSTM layer



Kiperwasser and Goldberg (2016)

Probabilistic Arc Scores

Generative $\psi(i \xrightarrow{r} j, \boldsymbol{w}; \boldsymbol{\theta}) = \log p(w_j, r \mid w_i)$

• Unlabeled parse for: we eat sushi with rice

$$y = \{ (\text{ROOT}, 2), (2, 1), (2, 3), (3, 5), (5, 4) \}$$

$$\log p(w \mid y) = \sum_{(i \to j) \in y} \log p(w_j \mid w_i)$$

$$= \log p(eat \mid \text{ROOT}) + \log p(we \mid eat) + \log p(sushi \mid eat)$$

$$+ \log p(rice \mid sushi) + \log p(with \mid rice).$$

 Used in combination with expectation-maximization for unsupervised dependency parsing

Learning

- We can apply similar learning algorithms to those used in sequence labeling
- We can update a feature-based arc scores perceptron with:

$$egin{aligned} \hat{m{y}} = rgmax m{ heta} \cdot m{f}(m{w},m{y}') \ m{y}' \in \mathcal{Y}(m{w}) \end{aligned} \ m{ heta} = m{ heta} + m{f}(m{w},m{y}) - m{f}(m{w},\hat{m{y}}) \end{aligned}$$

argmax can be computed as previously described (Chu-Liu-Edmonds algorithm)

Transition-Based Dependency Parsing

- Graph-based dependency parsing
 - Offers exact inference (chooses always best-scoring parse)
 - Scoring is restricted to individual arcs (first-order features) for nonprojective parsing
 - Conflict: some types of attachment require second-order features
 - Goes against intuitions of human language processing (sequential reading and listening)
 - Runs relatively slow, running in cubic time in the length of the input
- Transition-based dependency parsing tries to solve those issues
 - Sequential sentence processing
 - Build-up and update the parsing structure through a simple sequence of actions
 - Incorporate higher-order features by looking at this structure
 - Linear time complexity
- **Derivation:** the sequence of actions producing the parse
- Multiple derivations possible ⇒ spurious ambiguity

Transition Systems for Dependency Parsing

- Transition system consists of parser configuration and a set of transition actions manipulating the configuration
- Configuration $C = (\sigma, \beta, A)$
 - σ is the stack
 - β is the input buffer
 - A is the set of created arcs
- Initial configuration:

$$C_{\text{initial}} = ([\text{ROOT}], \boldsymbol{w}, \emptyset)$$

• Accepting configuration:

$$C_{\text{accept}} = ([\text{ROOT}], \emptyset, A)$$

Spanning tree over the input

Transition System: Arc-Standard

- Closely related to shift-reduce, and to the LR algorithm used to parse programming languages
- Actions
 - SHIFT (precond.: input buffer not empty) $(\sigma, i | \beta, A) \Rightarrow (\sigma | i, \beta, A)$
 - ARC-LEFT (precond.: top of stack is not ROOT) $(\sigma|i,j|\beta,A) \Rightarrow (\sigma,j|\beta,A \oplus j \xrightarrow{r} i)$
 - ARC-RIGHT

$$(\sigma|i,j|\beta,A) \Rightarrow (\sigma,i|\beta,A \oplus i \xrightarrow{r} j)$$

Always results in a spanning tree

Transition System: Arc-Standard (Example)

- Actions are provided
- Notice the positions of ARC-RIGHT actions

	σ	eta	action	arc added to ${\cal A}$
1.	[Root]	they like bagels with lox	Shift	
2.	[ROOT, they]	like bagels with lox	Arc-Left	$(they \leftarrow like)$
3.	[ROOT]	like bagels with lox	Shift	
4.	[Root, <i>like</i>]	bagels with lox	Shift	
5.	[ROOT, <i>like, bagels</i>]	with lox	Shift	
6.	[ROOT, like, bagels, with]	lox	Arc-Left	$(with \leftarrow lox)$
7.	[ROOT, <i>like, bagels</i>]	lox	Arc-Right	$(bagels \rightarrow lox)$
8.	[ROOT, <i>like</i>]	bagels	Arc-Right	$(like \rightarrow bagels)$
9.	[ROOT]	like	Arc-Right	$(\text{ROOT} \rightarrow like)$
10.	[Root]	Ø	Done	

Table 11.2: Arc-standard derivation of the unlabeled dependency parse for the input *they like bagels with lox*.

Transition System: Arc-Eager

- Problem with arc-standard: we are not eager to apply ARC-RIGHT since right-branching is common in English
 - We tend to SHIFT everything onto the stack and assign arcs later to not remove words which still have dependents
- Arc-eager dependency parsing will use the ARC-RIGHT action more eagerly
- Modified *ARC-RIGHT* action:
 - Pushes the modifier onto the stack rather then removing it
- Additional ARC-LEFT precondition:
 - It can not be applied when the top of stack element already has a parent in ${\cal A}$
- New *REDUCE* action:
 - Can remove elements from top of stack if it has a parent in A

 $(\sigma|i,j|\beta,A) \Rightarrow (\sigma,i|\beta,A \oplus i \xrightarrow{r} j)$

Transition System: Arc-Eager (Example)

	σ	β	action	arc added to ${\cal A}$
1.	[ROOT]	they like bagels with lox	Shift	
2.	[ROOT, <i>they</i>]	like bagels with lox	Arc-Left	$(they \leftarrow like)$
3.	[ROOT]	like bagels with lox	Arc-Right	$(\text{ROOT} \rightarrow like)$
4.	[ROOT, <i>like</i>]	bagels with lox	Arc-Right	$(like \rightarrow bagels)$
5.	[ROOT, <i>like, bagels</i>]	with lox	Shift	
6.	[ROOT, like, bagels, with]	lox	Arc-Left	$(with \leftarrow lox)$
7.	[ROOT, <i>like, bagels</i>]	lox	Arc-Right	$(bagels \rightarrow lox)$
8.	[ROOT, <i>like, bagels, lox</i>]	Ø	Reduce	
9.	[ROOT, <i>like, bagels</i>]	Ø	Reduce	
10.	[ROOT, <i>like</i>]	Ø	REDUCE	
11.	[ROOT]	Ø	Done	

Table 11.3: Arc-eager derivation of the unlabeled dependency parse for the input *they like bagels with lox*.

Projectivity

- Arc-standard and arc-eager transition systems produce projective dependency trees
- Non-projective transition systems include actions which create arcs to words that are second or third in the stack
- Pseudo-projective dependency parsing
 - First do the projective dependency parse
 - Apply graph transformation techniques to produce a non-projective parse

Beam Search

- "greedy" transition-based parsing tries to do the best action at each configuration
 - Leads to search errors
 - Early wrong decisions propagate and can lock the parser in a poor derivation
- Beam search tries to correct search errors by keeping multiple partially-complete hypotheses around, called a beam



Figure 11.7: Beam search for unlabeled dependency parsing, with beam size K = 2. The arc lists for each configuration are not shown, but can be computed from the transitions.

Beam Search (cont.)

• At step *t* of the derivation there is a set of *k* hypotheses with score $s_t^{(k)}$ and a set of dependency arcs $A_t^{(k)}$

$$h_t^{(k)} = (s_t^{(k)}, A_t^{(k)})$$

- Keep the k best scoring configurations transitioned from step t at step t+1 around
- At the last step the highest scoring configuration is chosen as the parse



Figure 11.7: Beam search for unlabeled dependency parsing, with beam size K = 2. The arc lists for each configuration are not shown, but can be computed from the transitions.

Scoring Functions for Transition-Based Parsers

 In greedy transition-based parsing the current action can be chosen by training a classifier:

$$\hat{a} = \operatorname*{argmax}_{a \in \mathcal{A}(c)} \Psi(a, c, \boldsymbol{w}; \boldsymbol{\theta})$$

- $\mathcal{A}(c)$ is the set of admissible actions
- c is the current configuration
- w is the input
- Ψ is the scoring function with parameters θ
- Feature-based scoring function:

$$\Psi(a, c, \boldsymbol{w}) = \boldsymbol{\theta} \cdot \boldsymbol{f}(a, c, \boldsymbol{w})$$

 Features *f* can be all sorts of features from the input buffer, stack, and already created arcs

Neural Scoring Function

- Chen and Manning (2014) feed forward network features:
 - the top three words on the stack, and the first three words on the buffer;
 - the first and second leftmost and rightmost children (dependents) of the top two words on the stack;
 - the leftmost and right most grandchildren of the top two words on the stack;
 - embeddings of the part-of-speech tags of these words

$$c = (\sigma, \beta, A)$$
$$\boldsymbol{x}(c, \boldsymbol{w}) = [\boldsymbol{v}_{w_{\sigma_1}}, \boldsymbol{v}_{t_{\sigma_1}}, \boldsymbol{v}_{w_{\sigma_2}}, \boldsymbol{v}_{t_{\sigma_2}}, \boldsymbol{v}_{w_{\sigma_3}}, \boldsymbol{v}_{t_{\sigma_3}}, \boldsymbol{v}_{w_{\beta_1}}, \boldsymbol{v}_{t_{\beta_1}}, \boldsymbol{v}_{w_{\beta_2}}, \boldsymbol{v}_{t_{\beta_2}}, \ldots]$$

Feed forward network:

$$\boldsymbol{z} = g(\Theta^{(x \to z)} \boldsymbol{x}(c, \boldsymbol{w}) \\ \psi(a, c, \boldsymbol{w}; \boldsymbol{\theta}) = \Theta_a^{(z \to y)} \boldsymbol{z}$$

• Cubic elementwise activation function $g(x) = x^3$

Learning to Parse

- Mismatch between the supervision, the dependency trees, and the classifier's prediction space (set of parsing actions)
- Create new training data by converting parse trees into action sequences (often a deterministic algorithm)
- Alternatively, derive supervision directly from the parser's performance

Oracle-Based Training

- Transition system: action sequence \mapsto dependency tree
- **Oracle**: dependency tree \mapsto action sequence
- Oracle has to choose between multiple derivations in case of spurious ambiguity
- Convert dependency treebank to set of oracle action sequences $\{A^{(i)}\}_{i=1}^N$
- Train transition based parser with:

$$p(a \mid c, w) = \frac{\exp \Psi(a, c, w; \theta)}{\sum_{a' \in \mathcal{A}(c)} \exp \Psi(a', c, w; \theta)} - \text{log-likelihood loss}$$
$$\hat{\theta} = \underset{\theta}{\operatorname{argmax}} \sum_{i=1}^{N} \sum_{t=1}^{|A^{(i)}|} \log p(a_t^{(i)} \mid c_t^{(i)}, w)$$

• $|A^{(i)}|$ is length of the action sequence $A^{(i)}$

 Beam search: sequence score is obtained by summing action losses

Global Objective

- Objective $\hat{\theta} = \underset{\theta}{\operatorname{argmax}} \sum_{i=1}^{N} \sum_{t=1}^{|A^{(i)}|} \log p(a_t^{(i)} \mid c_t^{(i)}, w)$ is locally-normalized
- Training on individual actions can be sub-optimal with respect to the global performance (label bias problem)
- Example:
 - Configuration appears 100 times in training data oracle action a_1 is used in 51 cases and a_2 in 49 cases. a_1 results in a cascading error whereas a_2 results in a single error
 - Local objective function prefers a_1 , but choosing a_2 minimizes the overall number of errors
- Globally-normalized conditional likelihood:

$$p(A^{(i)} \mid \boldsymbol{w}; \boldsymbol{\theta}) = \frac{\exp \sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, \boldsymbol{w})}{\sum_{A' \in \mathbb{A}(\boldsymbol{w})} \exp \sum_{t=1}^{|A'|} \Psi(a_t', c_t', \boldsymbol{w})}$$

Set of all possible action sequences $\mathbb{A}(\boldsymbol{w})$

Global Objective (cont.)

$$p(A^{(i)} \mid \boldsymbol{w}; \boldsymbol{\theta}) = \frac{\exp \sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, \boldsymbol{w})}{\sum_{A' \in \mathbb{A}(\boldsymbol{w})} \exp \sum_{t=1}^{|A'|} \Psi(a_t', c_t', \boldsymbol{w})}$$

• Denominator can be approximated using Beam search:

$$\sum_{A' \in \mathbb{A}(\boldsymbol{w})} \exp \sum_{t=1}^{|A'|} \Psi(a'_t, c'_t, \boldsymbol{w}) \approx \sum_{k=1}^{K} \exp \sum_{t=1}^{|A^{(k)}|} \Psi(a^{(k)}_t, c^{(k)}_t, \boldsymbol{w})$$

$$A^{(k)} \text{ is an action sequence on a beam of size } K$$

• Resulting in the loss function:

$$L(\boldsymbol{\theta}) = -\sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, \boldsymbol{w}) + \log \sum_{k=1}^{K} \exp \sum_{t=1}^{|A^{(k)}|} \Psi(a_t^{(k)}, c_t^{(k)}, \boldsymbol{w})$$

Dependency Parsing on Penn Treebank

Model	POS	UAS	LAS	Paper / Source	Code
Label Attention Layer + HPSG + XLNet (Mrini et al., 2019)	97.3	97.42	96.26	Rethinking Self- Attention: Towards Interpretability for Neural Parsing	Official
BIST transition-based parser (Kiperwasser and Goldberg, 2016)	97.3	93.9	91.9	Simple and Accurate Dependency Parsing Using Bidirectional LSTM Feature Representations	Official
BIST graph-based parser (Kiperwasser and Goldberg, 2016)	97.3	93.1	91.0	Simple and Accurate Dependency Parsing Using Bidirectional LSTM Feature Representations	Official

http://nlpprogress.com/english/dependency_parsing.html

Applications - Digital Humanities Research

- Searching for pairs of words which might not be adjacent
- Search Google n-grams for: write \rightarrow code
 - **Results:** *write some code, write good code, write all the code,* **etc.**
 - Plot use of this dependency arc over time



Figure 11.8: Google n-grams results for the bigram *write code* and the dependency arc *write* => *code* (and their morphological variants)

Applications - Relation Extraction

- Relation extraction of chapter 17
- Identify pairs of entities with relations to each other
 - Example authorship:

(Melville, Moby-Dick) (Tolstoy, War and Peace) (Marquéz, 100 Years of Solitude) (Shakespeare, A Midsummer Night's Dream)

- Paris can often be identified by consistent chains of dependency relations
- Dependency parsing can help finding new instances of a relation based of other instances of the same type

Applications - Question Answering

- Dependency parsing can improve question answering
- Example query:

(11.1) What percentage of the nation's cheese does Wisconsin produce?

• Sentence in corpus:

(11.2) In Wisconsin, where farmers produce 28% of the nation's cheese, ...

- In the dependency parses there is an edge from *produce* to *Wisconsin* in both the question and the potential answer
- Likelihood is increased that this span of text is relevant for the answer

Applications - Sentiment Analysis

- Is sentence positive or negative?
- Polarity can be reversed by negation

(11.3) There is no reason at all to believe the polluters will suddenly become reasonable.

 Through dependency parsing we can track the sentiment polarity to better identify the overall polarity

Questions? Thank you for listening