# **Dependency Parsing Chapter 11**

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#### **Dependency Grammar**

- Motivated by lexicalized context-free grammars
- Tries to improve attachment ambiguities
- More light-weight structure
- **Universal Dependencies** project
	- More than 100 dependency treebanks for more than 60 languages



#### **Dependency Grammar (cont.)**

- Relationships modeled as directed graph (**dependency graph**)
- Vertices are the words and a special root vertex
- Edge *(i, j)* from **head** *i* to **dependent** *j*
	- Describes **syntactic dependencies**
	- Can be derived from a lexicalized constituency parse
- Exactly one incoming edge for each word (root has no incoming edge)
- Properties of the dependency graph
	- Weakly connected
	- No cycles
	- **• Spanning tree** if directed edges are replaced by undirected edges

(b) unlabeled dependency tree

#### **Heads and Dependents**

#### **How to choose the head? (possible criteria)**

- Head sets syntactic category of the construction
	- E.g. Nouns as heads of noun phrases, verbs are heads of verb phrases
- **Modifier** (dependent) may be optional while head is mandatory
	- E.g. *cats scratch people with claws*, subtrees *cats scratch* and *cats scratch people* are grammatical sentences, but *with claws* is not
- Head determines the morphological form of the modifier
	- E.g. in languages with gender agreements, the gender of the noun determines the gender of the adjectives and determiners
- Edges should first connect content words, and then connect function words

#### **Heads and Dependents (cont.)**

- Relationships are modeled as asymmetric
- Not all relations are asymmetric
	- **• Example: Coordination (symmetric)**
	- *Abigail and Max like kimchi* with coordinated noun phrase *Abigail and Max*
	- How to choose the heads in the coordinated noun phrase?
	- Choosing *Abigail* or *Max* would be arbitrary
	- Choosing *and* goes against the principle of linking content words first
	- Universal Dependencies arbitrarily chooses the left-most item as head and uses of *conj* (=conjoined) and *cc* (=coordinating conjunction) labels  $\lceil \text{root} \rceil$



#### **Labeled Dependencies**

- **Labels** of edges indicate the nature of the syntactic relations
- What are the children of *like*? Who likes what?
	- *Abigail and Max* is *nsubj* (=noun subject) of verb *like*
	- *kimchi but not jook* is *obj* (=object) from verb *like*
	- Negation *not* is *advmod* (=adverbial modifier) on the noun *jook*



#### **Another Example Dependency Parse**



Figure 11.3: A labeled dependency parse from the English UD Treebank (reviews-361348-0006)

#### **Example Japanese Dependency Parse**

Short Unit Word (SUW)



She studied in Peking University, and delivered twins when she returned to Japan.

Source: Asahara, Masayuki, et al. "Universal Dependencies Version 2 for Japanese."

#### **Dependency Subtrees and Constituents**

- Dependency trees hides information present of CFG parse
- Often no meaningful difference between analyses
- Dependency parses can be flat:
	- E.g. *Abigail was reluctantly giving Max kimchi* with head *giving*



Figure 11.4: The three different CFG analyses of this verb phrase all correspond to a single dependency structure.

## **Projectivity**

**Definition** (Projectivity). *An edge from i to j is projective iff all k*  between *i* and *j* are descendants of *i*. A dependency parse is *projective iff all its edges are projective.* 

- Dependency parses derived from lexicalized CFG parses are projective  $\Longrightarrow$  restricted class of spanning trees
- Syntactic constituents are *continues* spans



#### **Projective Example Non-Projective Example**



#### **Projectivity (cont.)**



- The frequency of projectivity is language dependent
- Projectivity has algorithmic consequences
	- Transition-based parsing
		- Simple/efficient but mostly allows for projectivity
	- Graph-based parsing
		- Complex/inefficient but also allows non-projectivity

#### **Graph-Based Dependency Parsing**

- Dependency graph:  $\boldsymbol{y} = \{(i \stackrel{r}{\rightarrow})\}$
- Relation *r*
- Head word  $i \in \{1,2,\ldots,M,R$
- Modifier  $j \in \{1, 2, \ldots, n\}$
- *M* is length of input |*w*|
- Scoring function:  $\Psi(\bm{y},\bm{w};\theta)$

Optimal parse: 
$$
\hat{\boldsymbol{y}} = \operatorname*{argmax}_{\boldsymbol{y} \in \mathcal{Y}(\boldsymbol{w})} \Psi(\boldsymbol{y}, \boldsymbol{w}; \boldsymbol{\theta})
$$

- $\mathcal{Y}(w)$  is the set of valid dependency parses on input  $\boldsymbol{w}$
- $|\mathcal{Y}(w)|$  is exponential in the length of the input

#### **Arc-Factored Assumption**

- Decompose the exponential search space into a sum of local feature vectors.
- This assumes that the score is independent of other edges
- **• Arc-factored:**

$$
\Psi(\boldsymbol{y},\boldsymbol{w};\boldsymbol{\theta})=\sum_{i\stackrel{T}{\to}j\in\boldsymbol{y}}\psi(i\stackrel{T}{\to}j,\boldsymbol{w};\boldsymbol{\theta})
$$

#### **Higher-Order Dependency Parsing**

• Relax arc-factored decomposition to allow higher-order dependencies



#### **Projective Dependency Parsing**

- Lexicalized CFG parsing algorithms can be applied directly to get a projective dependency parse
- Lower bound for scoring the edges  $\mathcal{O}(M^2R)$
- There are cubic time algorithms for lexicalized constituent parsing
- Hence, arc-factored projective dependency parsing is in cubic time in the length of the input
- Second-order projective dependency parsing can also be performed in cubic time
- Third-order projective dependency parsing can be performed in  $\mathcal{O}(M^4)$

#### **Non-Projective Dependency Parsing**

- Precompute scores for the edges
- Find **maximum directed spanning tree** to maximize the total score (**Chu-Liu-Edmonds algorithm**)
- Chu-Liu-Edmonds complexity  $\mathcal{O}(M^3R)$
- Can be reduced to  $\mathcal{O}(M^2N)$  by storing the edge scores in a Fibonacci heap



**Chu-Liu-Edmonds algorithm Jurafsky Figure 15.14**

#### **Computing Scores for Dependency Arcs**

Linear

\n
$$
\psi(i \xrightarrow{r} j, \mathbf{w}; \boldsymbol{\theta}) = \boldsymbol{\theta} \cdot \boldsymbol{f}(i \xrightarrow{r} j, \mathbf{w})
$$
\nNeural

\n
$$
\psi(i \xrightarrow{r} j, \mathbf{w}; \boldsymbol{\theta}) = \text{Feedforward}([\mathbf{u}_{w_i}; \mathbf{u}_{w_j}]; \boldsymbol{\theta})
$$
\nGenerating

\n
$$
\psi(i \xrightarrow{r} j, \mathbf{w}; \boldsymbol{\theta}) = \log p(w_j, r \mid w_i).
$$

#### **Linear Feature-Based Arc Scores**

Linear 
$$
\psi(i \stackrel{r}{\rightarrow} j, \mathbf{w}; \boldsymbol{\theta}) = \boldsymbol{\theta} \cdot \boldsymbol{f}(i \stackrel{r}{\rightarrow} j, \mathbf{w})
$$

- Same features  $f$  possible as in sequence labeling and discriminative constituency parsing including:
	- the length and direction of the arc;
	- the words  $w_i$  and  $w_j$  linked by the dependency relation;
	- the neighbors of the dependency arc,  $w_{i-1}, w_{i+1}, w_{j-1}, w_{j+1}$ ;
	- the prefixes, suffixes, and part-of-speech of these neighbor words
- Bilexical features (e.g.  $sushi \rightarrow chopsticks$ )
	- Useful but rare, backing off can be helpful  $f(3 \rightarrow 5$ , we eat sushi with chopsticks) =  $\langle$ sushi  $\rightarrow$  chopsticks,

 $sushi \rightarrow NNS,$ 

 $NN \rightarrow chopsticks,$ 

 $NNS \rightarrow NN$ .

• Many more features are possible

#### **Neural Arc Scores**

• Given vector representation  $x_i$  for each word  $w_i$ 

 $\psi(i \stackrel{\tau}{\to} j, w; \theta) = \text{FeedForward}([x_i; x_j]; \theta_r)$ 

• Kiperwasser and Goldberg (2016) feed forward network:

$$
\boldsymbol{z=}g(\boldsymbol{\Theta}_r[\boldsymbol{x}_i;\boldsymbol{x}_j]+b_r^{(z)})\\ \psi(i\stackrel{r}{\to}j)=\!\boldsymbol{\beta}_r\boldsymbol{z}+b_r^{(y)}
$$

 $\mathbf{\Theta}_r$  is a matrix,  $\boldsymbol{\beta}_r$  is a vector, each  $b_r$  is a scalar,  $g$  is an elementwise tanh activation

•  $x_i$  can be a word embedding or a vector incorporating context through a BiLSTM layer on the input word embeddings (Kiperwasser and Goldberg, 2016)

#### **Kiperwasser and Goldberg (2016)**

- No handcrafted lexical features
- Context captured through BiLSTM layer



**Kiperwasser and Goldberg (2016)**

#### **Probabilistic Arc Scores**

Generative  $\psi(i \stackrel{r}{\to} j, w; \theta) = \log p(w_i, r \mid w_i)$ 

• Unlabeled parse for: *we eat sushi with rice*

$$
\mathbf{y} = \{(\text{ROOT}, 2), (2, 1), (2, 3), (3, 5), (5, 4)\}
$$
  
\n
$$
\log p(\mathbf{w} \mid \mathbf{y}) = \sum_{(i \to j) \in \mathbf{y}} \log p(w_j \mid w_i)
$$
  
\n
$$
= \log p(\text{eat} \mid \text{ROOT}) + \log p(\text{we} \mid \text{eat}) + \log p(\text{sushi} \mid \text{eat})
$$
  
\n
$$
+ \log p(\text{rice} \mid \text{sushi}) + \log p(\text{with} \mid \text{rice}).
$$

• Used in combination with expectation-maximization for unsupervised dependency parsing

#### **Learning**

- We can apply similar learning algorithms to those used in sequence labeling
- We can update a feature-based arc scores perceptron with:  $\sim$   $\sim$   $\sim$   $\sim$

$$
\hat{\boldsymbol{y}} = \operatornamewithlimits{argmax}_{\boldsymbol{y}' \in \mathcal{Y}(\boldsymbol{w})} \boldsymbol{\theta} \cdot \boldsymbol{f}(\boldsymbol{w}, \boldsymbol{y}') \\ \boldsymbol{\theta} = \boldsymbol{\theta} + \boldsymbol{f}(\boldsymbol{w}, \boldsymbol{y}) - \boldsymbol{f}(\boldsymbol{w}, \hat{\boldsymbol{y}})
$$

argmax can be computed as previously described (Chu-Liu-Edmonds algorithm)

#### **Transition-Based Dependency Parsing**

- Graph-based dependency parsing
	- Offers exact inference (chooses always best-scoring parse)
	- Scoring is restricted to individual arcs (first-order features) for nonprojective parsing
	- Conflict: some types of attachment require second-order features
	- Goes against intuitions of human language processing (sequential reading and listening)
	- Runs relatively slow, running in cubic time in the length of the input
- Transition-based dependency parsing tries to solve those issues
	- Sequential sentence processing
	- Build-up and update the parsing structure through a simple sequence of actions
	- Incorporate higher-order features by looking at this structure
	- Linear time complexity
- **Derivation:** the sequence of actions producing the parse
- Multiple derivations possible  $\Rightarrow$  **spurious ambiguity**

#### **Transition Systems for Dependency Parsing**

- **Transition system** consists of parser configuration and a set of transition actions manipulating the configuration
- Configuration  $C=(\sigma,\beta,A)$ 
	- $\bullet$   $\sigma$  is the stack
	- $\beta$  is the input buffer
	- A is the set of created arcs
- Initial configuration:

$$
C_{\rm initial} = (\mathrm{[ROOT]}, \boldsymbol{w}, \varnothing)
$$

• Accepting configuration:

$$
C_{\text{accept}} = ([\text{Root}], \varnothing, A)
$$

Spanning tree over the input

#### **Transition System: Arc-Standard**

- Closely related to shift-reduce, and to the LR algorithm used to parse programming languages
- Actions
	- *SHIFT* (precond.: input buffer not empty)  $(\sigma, i | \beta, A) \Rightarrow (\sigma | i, \beta, A)$
	- *ARC-LEFT* (precond.: top of stack is not *ROOT*)<br> $(\sigma|i, j|\beta, A) \Rightarrow (\sigma, j|\beta, A \oplus j \stackrel{r}{\rightarrow} i)$
	- *• ARC-RIGHT*

$$
(\sigma|i,j|\beta,A)\Rightarrow(\sigma,i|\beta,A\oplus i\stackrel{r}{\to}j)
$$

• Always results in a spanning tree

#### **Transition System: Arc-Standard (Example)**

- Actions are provided
- Notice the positions of *ARC-RIGHT* actions



Table 11.2: Arc-standard derivation of the unlabeled dependency parse for the input they like bagels with lox.

#### **Transition System: Arc-Eager**

- Problem with arc-standard: we are not eager to apply *ARC-RIGHT* since right-branching is common in English
	- We tend to *SHIFT* everything onto the stack and assign arcs later to not remove words which still have dependents
- **Arc-eager dependency parsing** will use the *ARC-RIGHT*  action more eagerly
- Modified *ARC-RIGHT* action:
	- Pushes the modifier onto the stack rather then removing it
- Additional *ARC-LEFT* precondition:
	- It can not be applied when the top of stack element already has a parent in  $A$
- New *REDUCE* action:
	- Can remove elements from top of stack if it has a parent in  $A$

 $(\sigma|i, j|\beta, A) \Rightarrow (\sigma, i|\beta, A \oplus i \stackrel{r}{\rightarrow} j)$ 

#### **Transition System: Arc-Eager (Example)**



Table 11.3: Arc-eager derivation of the unlabeled dependency parse for the input they like bagels with lox.

## **Projectivity**

- Arc-standard and arc-eager transition systems produce **projective** dependency trees
- Non-projective transition systems include actions which create arcs to words that are second or third in the stack
- **• Pseudo-projective dependency parsing** 
	- First do the projective dependency parse
	- Apply graph transformation techniques to produce a non-projective parse

#### **Beam Search**

- "greedy" transition-based parsing tries to do the best action at each configuration
	- Leads to search errors
	- Early wrong decisions propagate and can lock the parser in a poor derivation
- **Beam search** tries to correct search errors by keeping multiple partially-complete hypotheses around, called a **beam**



Figure 11.7: Beam search for unlabeled dependency parsing, with beam size  $K = 2$ . The arc lists for each configuration are not shown, but can be computed from the transitions.

#### **Beam Search (cont.)**

• At step *t* of the derivation there is a set of *k* hypotheses with score  $s_t^{(k)}$  and a set of dependency arcs .

$$
h_t^{(k)} = (s_t^{(k)}, A_t^{(k)})
$$

- Keep the *k* best scoring configurations transitioned from step *t* at step *t+1* around
- At the last step the highest scoring configuration is chosen as the parse



Figure 11.7: Beam search for unlabeled dependency parsing, with beam size  $K = 2$ . The arc lists for each configuration are not shown, but can be computed from the transitions.

#### **Scoring Functions for Transition-Based Parsers**

• In greedy transition-based parsing the current action can be chosen by training a classifier:

$$
\hat{a} = \operatornamewithlimits{argmax}_{a \in \mathcal{A}(c)} \Psi(a, c, \bm{w}; \bm{\theta})
$$

- $A(c)$  is the set of admissible actions
- $\bullet$  c is the current configuration
- $\bullet$  w is the input
- $\Psi$  is the scoring function with parameters  $\boldsymbol{\theta}$
- Feature-based scoring function:

$$
\Psi(a,c,\boldsymbol{w})=\boldsymbol{\theta}\cdot\boldsymbol{f}(a,c,\boldsymbol{w})
$$

• Features  $f$  can be all sorts of features from the input buffer, stack, and already created arcs

#### **Neural Scoring Function**

- Chen and Manning (2014) feed forward network features:
	- the top three words on the stack, and the first three words on the buffer;
	- the first and second leftmost and rightmost children (dependents) of the top two words on the stack;
	- the leftmost and right most grandchildren of the top two words on the stack;
	- embeddings of the part-of-speech tags of these words

$$
c = \!\!(\sigma,\beta,A)\\ \boldsymbol{x}(c,\boldsymbol{w}) = \!\! [\boldsymbol{v}_{w_{\sigma_1}}, \boldsymbol{v}_{t_{\sigma_1}} \boldsymbol{v}_{w_{\sigma_2}}, \boldsymbol{v}_{t_{\sigma_2}}, \boldsymbol{v}_{w_{\sigma_3}}, \boldsymbol{v}_{t_{\sigma_3}}, \boldsymbol{v}_{w_{\beta_1}}, \boldsymbol{v}_{t_{\beta_1}}, \boldsymbol{v}_{w_{\beta_2}}, \boldsymbol{v}_{t_{\beta_2}}, \ldots]
$$

• Feed forward network:

$$
\boldsymbol{z=}g(\Theta^{(x\to z)}\boldsymbol{x}(c,\boldsymbol{w})\\\psi(a,c,\boldsymbol{w};\boldsymbol{\theta})=\!\Theta_a^{(z\to y)}\boldsymbol{z}
$$

• Cubic elementwise activation function  $g(x) = x^3$ 

#### **Learning to Parse**

- Mismatch between the supervision, the dependency trees, and the classifier's prediction space (set of parsing actions)
- Create new training data by converting parse trees into action sequences (often a deterministic algorithm)
- Alternatively, derive supervision directly from the parser's performance

#### **Oracle-Based Training**

- Transition system: action sequence  $\longmapsto$  dependency tree
- **Oracle**: dependency tree  $\rightarrow$  action sequence
- Oracle has to choose between multiple derivations in case of spurious ambiguity
- Convert dependency treebank to set of oracle action sequences  $\{A^{(i)}\}_{i=1}^N$
- Train transition based parser with:

$$
p(a \mid c, w) = \frac{\exp \Psi(a, c, w; \theta)}{\sum_{a' \in \mathcal{A}(c)} \exp \Psi(a', c, w; \theta)} \log-\text{likelihood loss}
$$

$$
\hat{\theta} = \underset{\theta}{\arg\max} \sum_{i=1}^{N} \sum_{t=1}^{|A^{(i)}|} \log p(a_t^{(i)} \mid c_t^{(i)}, w)
$$

 $\cdot |A^{(i)}|$  is length of the action sequence  $A^{(i)}$ 

• Beam search: sequence score is obtained by summing action losses

#### **Global Objective**

- Objective  $\hat{\theta} = \underset{\theta}{\arg\max} \sum_{i=1}^{N} \sum_{t=1}^{|A^{(i)}|} \log p(a_t^{(i)} | c_t^{(i)}, w)$  is **locally-normalized**
- Training on individual actions can be sub-optimal with respect to the global performance (**label bias problem**)
- Example:
	- Configuration appears  $100$  times in training data oracle action  $a_1$  is used in 51 cases and  $a_2$  in 49 cases.  $a_1$  results in a cascading error whereas  $a_2$  results in a single error
	- Local objective function prefers  $a_1$ , but choosing  $a_2$  minimizes the overall number of errors
- Globally-normalized conditional likelihood:

$$
p(A^{(i)} | w; \theta) = \frac{\exp \sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, w)}{\sum_{A' \in A(w)} \exp \sum_{t=1}^{|A'|} \Psi(a_t', c_t', w)}
$$

Set of all possible action sequences  $\mathbb{A}(w)$ 

#### **Global Objective (cont.)**

$$
p(A^{(i)} | w; \theta) = \frac{\exp \sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, w)}{\sum_{A' \in A(w)} \exp \sum_{t=1}^{|A'|} \Psi(a_t', c_t', w)}
$$

• Denominator can be approximated using Beam search:

$$
\sum_{A' \in \mathbb{A}(w)} \exp \sum_{t=1}^{|A'|} \Psi(a'_t, c'_t, w) \approx \sum_{k=1}^K \exp \sum_{t=1}^{|A^{(k)}|} \Psi(a_t^{(k)}, c_t^{(k)}, w)
$$
  
 $A^{(k)}$  is an action sequence on a beam of size  $K$ 

• Resulting in the loss function:

$$
L(\boldsymbol{\theta}) = -\sum_{t=1}^{|A^{(i)}|} \Psi(a_t^{(i)}, c_t^{(i)}, \boldsymbol{w}) + \log \sum_{k=1}^K \exp \sum_{t=1}^{|A^{(k)}|} \Psi(a_t^{(k)}, c_t^{(k)}, \boldsymbol{w})
$$

#### **Dependency Parsing on Penn Treebank**



#### [http://nlpprogress.com/english/dependency\\_parsing.html](http://nlpprogress.com/english/dependency_parsing.html)

#### **Applications - Digital Humanities Research**

- Searching for pairs of words which might not be adjacent
- *•* Search Google n-grams for: *write* → *code*
	- *•* Results: *write some code*, *write good code*, *write all the code*, etc.
	- *•* Plot use of this dependency arc over time



Figure 11.8: Google n-grams results for the bigram *write code* and the dependency arc *write*  $\Rightarrow$  code (and their morphological variants)

#### **Applications - Relation Extraction**

- **Relation extraction** of chapter 17
- Identify pairs of entities with relations to each other
	- Example authorship:

(MELVILLE, MOBY-DICK) (TOLSTOY, WAR AND PEACE) (MARQUÉZ, 100 YEARS OF SOLITUDE) (SHAKESPEARE, A MIDSUMMER NIGHT'S DREAM)

- Paris can often be identified by consistent chains of dependency relations
- Dependency parsing can help finding new instances of a relation based of other instances of the same type

#### **Applications - Question Answering**

- Dependency parsing can improve question answering
- Example query:

(11.1) What percentage of the nation's cheese does Wisconsin produce?

• Sentence in corpus:

 $(11.2)$  In Wisconsin, where farmers produce 28% of the nation's cheese, ...

- In the dependency parses there is an edge from *produce* to *Wisconsin* in both the question and the potential answer
- Likelihood is increased that this span of text is relevant for the answer

#### **Applications - Sentiment Analysis**

- Is sentence positive or negative?
- Polarity can be reversed by negation

There is no reason at all to believe the polluters will suddenly become reasonable.  $(11.3)$ 

• Through dependency parsing we can track the sentiment polarity to better identify the overall polarity

## Questions? Thank you for listening